



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TECHNOLOGY CENTER R3700

In re Reissue Application of:

U.S. Patent No. : 5,815,927  
Filing Date : January 11, 1996  
Granted : October 6, 1998  
Reissue Filing Date : October 6, 2000  
Serial No. : 09/680,697  
Patentee : Walter W. Collins  
For : FOLDING KNIFE WITH ACTUABLE SAFETY LOCKING  
MECHANISM

Dated: October 15, 2002

*Handwritten signatures and initials:*  
#1 2/Maintenance Fee  
3/4/03  
Hart

**BOX M-FEE**

United States Patent and Trademark Office  
P.O. Box 371611  
Pittsburgh, Pennsylvania 15250

Sir:

**SUBMISSION OF FIRST U.S. MAINTENANCE FEE AND SURCHARGE  
AND STATEMENT OF UNAVOIDABLE DELAY**

In accordance with 35 U.S.C. 41 (c)(1) and 37 C.F.R. 1.20 (i)(1), submitted herewith is the requisite first maintenance fee of \$880.00 and surcharge of \$700.00 for the above-identified United States Letters Patent. The undersigned asserts that patentee is of large entity status and that a failure to pay the first maintenance fee within the grace period was unavoidable.

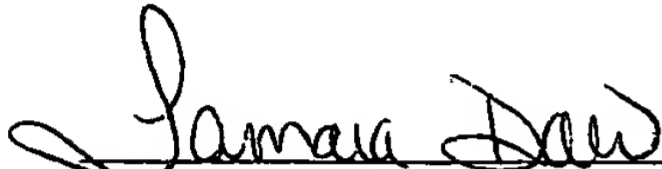
The failure to pay the maintenance fee was unavoidable because of an error in the docketing system, which resulted in failure to recognize that the maintenance fee was due. Reasonable care was exercised in designing and operating the docketing system, and the error was not appreciated until after the maintenance fee was due, as outlined in Exhibit

A. Please charge Deposit Account No. 11-1540 for any additional fees which may be

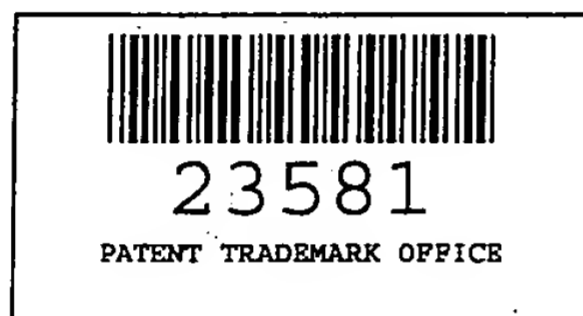
required and credit same account for any overpayment. A duplicate copy of this transmittal letter is enclosed.

**CERTIFICATE OF MAILING**


I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on October 15, 2002.

  
\_\_\_\_\_  
Tamara Daw

Date of Signature: October 15, 2002



Respectfully submitted,  
KOLISCH, HARTWELL, DICKINSON,  
McCORMACK & HEUSER

  
\_\_\_\_\_  
B. Anna McCoy

Registration No. 46,077  
of Attorneys for Applicant  
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KOLISCH, HARTWELL, DICKINSON, McCORMACK & HEUSER, P.C.

47921

U.S. Patent and Trademark Office

10/15/2002

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Client Costs Advanced:530 · Client Costs Adv.

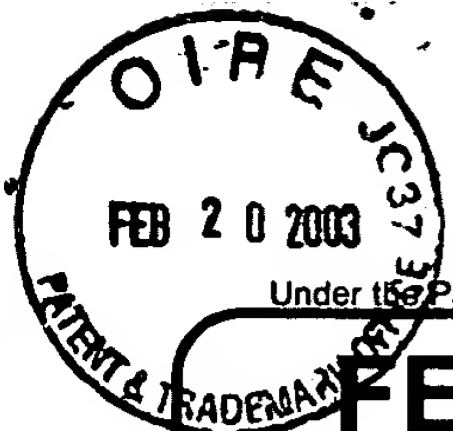
Maintenance Fee  
Surcharge for Delay

880.00  
700.00

Cash in Bank New Wells KSW 312RI - Kai USA, Ltd.

1,580.00

*Tamara*



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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**930.00**

## Complete if Known

Application Number	09/680,697
Filing Date	October 6, 2000
First Named Inventor	Walter W. Collins
Examiner Name	Clark F. Dexter
Art Unit	3724
Attorney Docket No.	KSW 312RI

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: **11-1540**  
Deposit Account Name: **Kolisch Hartwell, P.C.**

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) <b>0</b>

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims:  -20\*\* =  X  =   
Independent Claims:  -3\*\* =  X  =   
Multiple Dependent:  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) <b>0</b>

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	930.00
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**930.00**

### SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	B. Anna McCoy	Registration No. (Attorney/Agent)	46,077	Telephone	(503) 224-6655
Signature	<i>B. Anna McCoy</i>	Date	February 13, 2003		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



mu 3724

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/680,697
Filing Date	October 6, 2000
First Named Inventor	Walter W. Collins
Group Art Unit	3724
Examiner Name	Clark F. Dexter
Attorney Docket Number	KSW 312RI

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☒ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☒ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Copy of Submission of Ownership Interest & Consent to Reissue Application, Copies of two Agreements, Copy of Submission of First U.S. Maintenance Fee & Surcharge & Statement of Inevitable Delay with Exhibits

Remarks

A & B, and Substitute Declaration of Walter W. Collins and Petition for Reissue.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	B. Anna McCoy, Reg. No. 46,077 Kolisch Hartwell, P.C.
Signature	
Date	February 13, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

February 13, 2003

Typed or printed name Tamara Daw

Signature

Date

February 13, 2003

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